

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
|--|-----------|
| OMB Number: | 3235-0287 |
| Estimated average burden hours per response: | 0.5 |

| | | |
|--|--|--|
| 1. Name and Address of Reporting Person* <u>JMP GROUP LLC</u> (Last) (First) (Middle) 600 MONTGOMERY STREET, SUITE 1100 (Street) SAN FRANCISCO CA 94111 (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>Harvest Capital Credit Corp [HCAP]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below) |
| | 3. Date of Earliest Transaction (Month/Day/Year) 12/05/2017 | |
| | | 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|--------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 12/05/2017 | | P | | 300 | A | \$11 | 823,132 | I | See Footnote ⁽²⁾ |
| Common Stock | 12/06/2017 | | P | | 100 | A | \$11 | 823,232 | I | See Footnote ⁽²⁾ |
| Common Stock | 12/07/2017 | | P | | 2,100 | A | \$10.9971 ⁽¹⁾ | 825,332 | I | See Footnote ⁽²⁾ |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|---|--|--|---|--|
| | | | | Code | V | (A) | (D) | | | | | |

| |
|---|
| 1. Name and Address of Reporting Person* <u>JMP GROUP LLC</u> (Last) (First) (Middle) 600 MONTGOMERY STREET, SUITE 1100 (Street) SAN FRANCISCO CA 94111 (City) (State) (Zip) |
| 1. Name and Address of Reporting Person* <u>JMP Group Inc.</u> (Last) (First) (Middle) 600 MONTGOMERY STREET, SUITE 1100 (Street) SAN FRANCISCO CA 94111 (City) (State) (Zip) |
| 1. Name and Address of Reporting Person* |

JMP SECURITIES LLC

(Last) (First) (Middle)
600 MONTGOMERY STREET, SUITE 1100

(Street)
SAN FRANCISCO CA 94111

(City) (State) (Zip)

1. Name and Address of Reporting Person*

JMP Holding LLC

(Last) (First) (Middle)
600 MONTGOMERY STREET, SUITE 1100

(Street)
SAN FRANCISCO CA 94111

(City) (State) (Zip)

1. Name and Address of Reporting Person*

JMP Investment Holdings LLC

(Last) (First) (Middle)
600 MONTGOMERY STREET, SUITE 1100

(Street)
SAN FRANCISCO CA 94111

(City) (State) (Zip)

Explanation of Responses:

- 1. The range of prices for the common shares is from 10.98 to 11.00. The Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.
- 2. 819,674 of these securities are owned by JMP Investment Holdings LLC, which is a wholly owned subsidiary of JMP Group LLC. JMP Group LLC is the indirect beneficial owner of the reported securities. 5,658 of these securities are owned by JMP Securities LLC pursuant to the Issuer's Dividend Reinvestment Plan. JMP Securities LLC is a wholly owned subsidiary of JMP Holding LLC, which is a wholly owned subsidiary of JMP Group Inc., which is a wholly owned subsidiary of JMP Investment Holdings LLC, which is a wholly owned subsidiary of JMP Group LLC. JMP Group LLC is the indirect beneficial owner of the reported securities.

/s/ Walter Conroy, Authorized 12/07/2017
Person of JMP Group LLC
/s/ Walter Conroy, Authorized 12/07/2017
Person of JMP Group Inc.
/s/ Walter Conroy, Authorized 12/07/2017
Person of JMP Holding LLC
/s/ Walter Conroy, Authorized 12/07/2017
Person of JMP Investment
Holdings LLC
/s/ Walter Conroy, Authorized 12/07/2017
Person of JMP Securities LLC

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.